



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

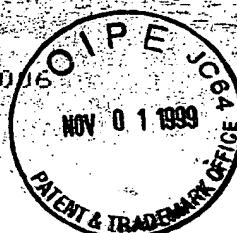
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/360,575 07/26/99 VANSTONE

5 2189-19

LAWRENCE A. MAXHAM
BAKER MAXHAM JESTER & MEADOR
750 B STREET
SUITE 3100
SAN DIEGO CA 92101

0212/10/96



NOT ASSIGNED

2876

DATE MAILED:

10/05/99

RECEIVED
TECH CENTER 2100
MAR - 2 2000

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

small entity (statement filed) non-small entity is \$ 870.00

1. The statutory basic filing fee is:

missing

insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ for total claims over 20.

\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint Inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

(Signature)

Please type a plus (+) sign inside this box → +

28E!

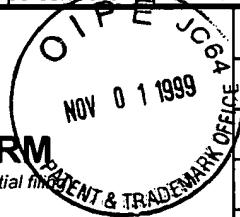
SECOR A

PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/360,575	RECEIVED	
Filing Date	26 July 1999	NOV 15 1999	
First Named Inventor	SCOTT A. VANSTONE	TECH CENTER 2700	
Group Art Unit	2876		
Examiner Name	Unknown		
Total Number of Pages in This Submission	6	Attorney Docket Number	2189-19 LAM

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response (19 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Two (2) Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Checklist and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <ul style="list-style-type: none"> 1. Renewal Request for Corrected Filing Receipt. 2. Form PTO-1533 3. Return postcard.
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Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	LAWRENCE A. MAXHAM
Signature	
Date	28 October 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 28 October 1999

Typed or printed name	LAWRENCE A. MAXHAM		
Signature		Date	28 October 1999



"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCOTT A. VANSTONE

SERIAL NO.: 09/360,575

FILED: 26 July 1999

FOR: "TRANSACTION VERIFICATION
PROTOCOL FOR SMART CARDS"

Group Art Unit: 2876

Examiner: Unknown

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated 6 October 1999.

Transmitted herewith is a copy of the check that was sent in on 7 October 1999 for the original filing fee in response to the Notice (Form PTO-1533) dated 12 August 1999.

RECEIVED
MAR-2 2000
TECH CENTER 2100
NOV 15 1999

RECEIVED
TC 2800 MAIL ROOM

RECEIVED
NOV 23 2000
TC 2800 MAIL ROOM

SCOTT A. VANSTONE
SERIAL NO.: 09/360,575

The Commissioner is hereby authorized to charge any fees that arise in connection with this filing which are not covered by the money enclosed, or credit any overpayment, to Deposit Account No. 02-0460. A copy of this letter is enclosed.

Respectfully submitted,

SCOTT A. VANSTONE

Lawrence A. Maxham
By: LAWRENCE A. MAXHAM
Attorney for Applicants
Registration No. 24,483

BAKER & MAXHAM
Symphony Towers
750 "B" Street, Suite 3100
San Diego, California 92101
Telephone: (619) 233-9004

THE U.S. PATENT AND TRADEMARK OFFICE DATE STAMP HEREON
WILL ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

RESPONSE TO NOTICE OF MISSING PARTS for "TRANSACTION
VERIFICATION PROTOCOL FOR SMART CARDS"

Applicants: Scott A. Vanstone
Serial No.: 09/360,575 - Filed: 26 July 1999



ENCLOSURES:
RESPONSE TO NOTICE OF MISSING PARTS (2 pages);
NOTICE OF MISSING PARTS (PTO Form-1533) (1 page);
TRANSMITTAL FORM
FEE TRANSMITTAL FORM
CHECK FOR \$890.00;
THIS POSTCARD.

Mailed via FIRST CLASS MAIL on 7 October, 1999

2189-19 (LAM)

RECEIVED
MAR - 2 1999
TECH CENTER 2100

22453

22453
Amount
760.00
130.00

BAKER & MAXHAM
A PROFESSIONAL LAW CORPORATION

PTO00 USPTO
Voucher Date Invoice Description
17358 10-07-99 2189-19 (LAM) Patent App
17358 10-07-99 2189-19 (LAM) Resp to Missing Parts

Matter
2189019
2189019

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NOV 15 1999
TC 2000 RATE ROOM
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TC 2000 RATE ROOM
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10-07-99 Total Paid 890.00

BAKER & MAXHAM
A PROFESSIONAL LAW CORPORATION
SYMPHONY TOWERS (619) 233-9004
750 B STREET SUITE 3100 SAN DIEGO, CA 92101

PENINSULA BANK OF SAN DIEGO
SAN DIEGO, CA 92103
90-3482-1222

22453

DATE
10-07-99 22453
AMOUNT
*****\$890.00

PAY
TO THE
ORDER
OF
USPTO

EIGHT HUNDRED NINETY AND 00/100 DOLLARS

GENERAL ACCOUNT

022453 1222348220820264601

"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

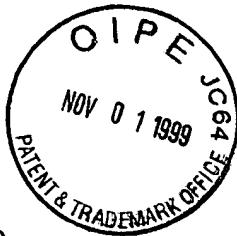
SCOTT A. VANSTONE

SERIAL NO.: 09/360,575

FILED: 26 July 1999

FOR: TRANSACTION VERIFICATION
PROTOCOL FOR SMART CARDS

Customer Correction Branch
Application Processing Division
Assistant Commissioner for Patents
Washington, D.C. 20231



Group Art Unit: 2876

Examiner: Unknown

RECEIVED
MAY - 2 2000 23 6:3
TECH CENTER 2700
JC 2035 MAIL ROOM

Sir:

RENEWAL REQUEST FOR CORRECTED FILING RECEIPT

It has come to the attention of the undersigned attorney that the Corrected Filing Receipt of 18 October 1999 is incorrect.

Please change "BAKER, MAXHAM, JESTER & MEADOR" to "BAKER & MAXHAM" in compliance with all of the papers filed with the application.

Please change "Tot Cl 7" to -- Tot Cl 8 --.

Please correct the records in the Patent and Trademark Office and issue a corrected Filing Receipt.

Respectfully submitted,

SCOTT A. VANSTONE

Lawrence A. Maxham
By: Lawrence A. Maxham
Attorney for Applicant(s)
Registration No. 24,483

Dated: 28 October 1999

BAKER & MAXHAM
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Telephone: (619) 233-9004